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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of: Siegall *et al.*

Confirmation No.: 9908

Serial No.: 09/724,409

Examiner: Karen A. Canella

Filed: November 28, 2000

Group Art Unit: 1642

TECH CENTER 1600/2901

For: NUCLEIC ACIDS ENCODING ANTI-CD40  
PROTEINS AND METHODS OF PRODUCING  
RECOMBINANT ANTI-CD40 PROTEINS

Attorney Docket No.: 9632-014

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. §1.56**

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby invite the Examiner's attention to the references CF and CG listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant." Copies of references CF and CG are submitted herewith.

Identification of the listed references is not meant to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited".

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

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Pursuant to 37 C.F.R. § 1.97(c)(2), since this Supplemental Information Disclosure Statement is being submitted after the first Office Action on merits but before a final Office Action under 37 C.F.R. § 1.113, a fee of \$180.00 is believed to be due. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Date: September 30, 2002

Adriane M. Antler 32,605  
Adriane M. Antler (Reg. No.)

By: Muna Abu-Shaar  
Muna Abu-Shaar  
Limited Recognition Under 37 C.F.R. § 10.9(b)  
Copy of Certificate Enclosed

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Enclosures